

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
07039-175001Application No.
09/843,295**RECEIVED**

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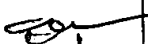

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

Applicant
Noel Caplice et al.Filing Date
April 25, 2001Group Art Unit
1646

TECH CENTER 1600/2901

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,692,618	09/19/72	Dorschner et al.			
	AB	4,060,081	11/29/77	Yannas et al.			
	AC	4,340,563	07/20/82	Appel et al.			
	AD	4,856,516	08/15/89	Hillstead			
	AE	4,973,493	11/27/90	Guire			
	AF	4,979,959	12/25/90	Guire			
	AG	4,992,226	02/12/91	Piez et al.			
	AH	4,994,081	02/19/91	Civerchia et al.			
	AI	5,002,582	03/26/91	Guire et al.			
	AJ	5,007,934	04/16/91	Stone			
	AK	5,034,352	07/23/91	Vit et al.			
	AL	5,139,941	08/18/92	Muzyczka et al.			
	AM	5,217,492	Guire et al.	06/08/93			
	AN	5,258,041	11/02/93	Guire et al.			
	AO	5,263,992	11/23/93	Guire			
	AP	5,274,074	12/28/93	Tang et al.			
	AQ	5,312,380	05/17/94	Alchas et al.			
	AR	5,324,647	06/28/94	Rubens et al.			
	AS	5,643,580	07/01/97	Subramaniam			
	AT	5,693,085	12/02/97	Buirge et al.			
	AU	5,723,003	03/03/98	Winston et al.			
	AV	5,725,567	03/10/98	Wolff et al.			
	AW	5,817,126	10/06/98	Imran			
	AX	5,824,049	10/20/98	Ragheb et al.			
	AY	5,830,879	11/03/98	Isner			
	AZ	5,861,032	01/19/99	Subramaniam			
	AAA	5,873,904	02/23/99	Ragheb et al.			

Examiner Signature

Date Considered

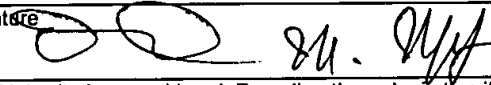
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Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets, if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07039-175001	Application No. 09/843,295	RECEIVED SEP 18 2001 TECH CENTER 1600/2900
		Applicant Noel Caplice et al.		
		Filing Date April 25, 2001	Group Art Unit 1646	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
an	ABB	5,957,972	09/28/99	Williams et al.			
	ACC	6,004,943	12/21/99	Shi et al.			
	ADD	6,054,288	04/25/00	Selden et al.			
	AEE	6,063,101	05/16/00	Jacobsen et al.			
	AFF	6,080,177	06/27/00	Igaki et al.			
	AGG	6,090,618	07/18/00	Parmacek et al.			
	AHH	6,096,070	08/01/00	Ragheb et al.			
	AII	6,102,887	08/15/00	Altman			
an	AJJ	6,156,373	12/05/00	Zhong et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
an	AKK	WO 97/37617	10/16/97	PCT				
an	ALL	WO 00/10620	03/02/00	PCT				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
an	AMM	Andreansky et al., "The application of genetically engineered herpes simplex viruses to the treatment of experimental brain tumors," <u>Proc. Natl. Acad. Sci. USA</u> , 1996, 93:11313-11318
	ANN	Bhatnagar et al., "The Role in Cell Binding of a β -bend within the Triple Helical Region in Collagen $\alpha 1(I)$ Chain: Structural and Biological Evidence for Conformational Tautomerism on Fiber Surface," <u>J. Biomolecular Structure & Dynamics</u> , 1997, 14(5):547-560
	AOO	Bhatnagar et al., "Design of Biomimetic Habitats for Tissue Engineering with P-15, a Synthetic Peptide Analogue of Collagen," <u>Tissue Engineering</u> , 1999, 5:53-65
	APP	Felgner et al., "Enhanced Gene Delivery and Mechanism Studies with a Novel Series of Cationic Lipid Formulations," <u>J. Biol. Chem.</u> , 1994, 269(4):2550-2561
	AQQ	Fletcher et al., "A steroid-triggered switch in E74 transcription factor isoforms regulates the timing of secondary-response gene expression," <u>Proc. Natl. Acad. Sci. USA</u> , 1997, 94:4582-4586
	ARR	Kay et al., "Gene therapy," <u>Proc. Natl. Acad. Sci. USA</u> , 1997, 94:12744-12746
	ASS	Lai et al., "Intercellular delivery of a herpes simplex virus VP22 fusion protein from cells infected with lentiviral vectors," <u>Proc. Natl. Acad. Sci. USA</u> , 2000, 97(21):11297-11302
	ATT	No et al., "Ecdysone-inducible gene expression in mammalian cells and transgenic mice," <u>Proc. Natl. Acad. Sci. USA</u> , 1996, 93:3346-3351



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		Filing Date April 25, 2001	Group Art Unit 1646

Other Documents (Include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AUU	Simari et al., "Requirements for Enhanced Transgene Expression by Untranslated Sequences from the Human Cytomegalovirus Immediate-Early Gene," <u>Mol. Med.</u> , 1998, 4:700-706
	AVV	Smith et al., "Adenovirus mediated expression of therapeutic plasma levels of human factor IX in mice," <u>Nat. Genet.</u> , 1993, 5:397-402
	AWW	Spector and Samaniego, "Construction and Isolation of Recombinant Adenoviruses with Gene Replacements," <u>Meth. Mol. Genet.</u> , 1995, 7:31-44
	AXX	Stadler et al., "Do Cultured Vascular Smooth Muscle Cells Resemble Those of the Artery Wall? If Not, Why Not?" <u>J. Cardiovasc. Pharmacol.</u> , 1989, 14(Suppl. 6):S1-S8
	AYY	Su et al., "Adeno-associated viral vector-mediated vascular endothelial growth factor gene transfer induces neovascular formation in ischemic heart," <u>Proc. Natl. Acad. Sci. USA</u> , 2000, 97(25):13801-13806
	AZZ	Uchida et al., "HIV, but not murine leukemia virus, vectors mediate high efficiency gene transfer into freshly isolated G ₀ /G ₁ human hematopoietic stem cells," <u>Proc. Natl. Acad. Sci. USA</u> , 1998, 95:11939-11944

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